

1646 1600  
CRF Errors Corrected by the STIC Systems Branch

CRF Processing Date:

2/11/2002

Edited by:

Verified by:

(STIC staff)

Serial Number: 09/902,634A

ENTERED

Changed a file from non-ASCII to ASCII

Changed the margins in cases where the sequence text was "wrapped" down to the next line.

Edited a format error in the Current Application Data section, specifically:

Edited the Current Application Data section with the actual current number. The number inputted by the applicant was  the prior application data; or  other \_\_\_\_\_.

Added the mandatory heading and subheadings for "Current Application Data".

Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.

Changed the spelling of a mandatory field (the headings or subheadings), specifically:

Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were:

Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: 173

Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.

Inserted colons after headings/subheadings. Headings edited included:

Deleted extra, invalid, headings used by an applicant, specifically:

Deleted:  non-ASCII "garbage" at the beginning/end of files;  secretary initials/filename at end of file;  page numbers throughout text;  other invalid text, such as \_\_\_\_\_.

Inserted mandatory headings, specifically:

Corrected an obvious error in the response, specifically:

Edited identifiers where upper case is used but lower case is required, or vice versa.

Corrected an error in the Number of Sequences field, specifically:

A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.

Deleted ending stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: \_\_\_\_\_

Other:

\_\_\_\_\_

\*Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

3/1/95

TECH CENTER 1600/2900

FEB 13 2002

RECEIVED



1645

**RAW SEQUENCE LISTING**  
 PATENT APPLICATION: US/09/902,634A

DATE: 02/11/2002  
 TIME: 08:11:10

Input Set : N:\Crf3\02042002\I902634A.raw  
 Output Set: N:\CRF3\02112002\I902634A.raw

1 <110> APPLICANT: Genentech, Inc.  
 2       Ashkenazi, Avi  
 3       Botstein, David  
 4       Desnoyers, Luc  
 5       Eaton, Dan L.  
 6       Ferrara, Napoleone  
 7       Filvaroff, Ellen  
 8       Fong, Sherman  
 9       Gao, Wei-Qiang  
 10      Gerber, Hanspeter  
 11      Gerritsen, Mary E.  
 12      Goddard, A.  
 13      Godowski, Paul J.  
 14      Grimaldi, Christopher J.  
 15      Gurney, Austin L.  
 16      Hillan, Kenneth, J.  
 17      Kljavin, Ivar J.  
 18      Mather, Jennie P.  
 19      Pan, James  
 20      Paoni, Nicholas F.  
 21      Roy, Margaret Ann  
 22      Stewart, Timothy A.  
 23      Tumas, Daniel  
 24      Williams, P. Mickey  
 25      Wood, William, I.  
 26 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
 27      Acids Encoding the Same  
 28 <130> FILE REFERENCE: 10466-14  
 C--> 29 <140> CURRENT APPLICATION NUMBER: US/09/902,634A  
 30 <141> CURRENT FILING DATE: 2001-07-10  
 31 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414  
 32 <151> PRIOR FILING DATE: 2000-02-22  
 33 <150> PRIOR APPLICATION NUMBER: US 60/143,048  
 34 <151> PRIOR FILING DATE: 1999-07-07  
 35 <150> PRIOR APPLICATION NUMBER: US 60/145,698  
 36 <151> PRIOR FILING DATE: 1999-07-26  
 37 <150> PRIOR APPLICATION NUMBER: US 60/146,222  
 38 <151> PRIOR FILING DATE: 1999-07-28  
 39 <150> PRIOR APPLICATION NUMBER: PCT/US99/20594  
 40 <151> PRIOR FILING DATE: 1999-09-08  
 41 <150> PRIOR APPLICATION NUMBER: PCT/US99/20944  
 42 <151> PRIOR FILING DATE: 1999-09-13  
 43 <150> PRIOR APPLICATION NUMBER: PCT/US99/21090

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/902,634A

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Input Set : N:\Crf3\02042002\I902634A.raw  
Output Set: N:\CREF3\02112002\I902634A.raw

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45 <150> PRIOR APPLICATION NUMBER: PCT/US99/21547
46 <151> PRIOR FILING DATE: 1999-09-15
47 <150> PRIOR APPLICATION NUMBER: PCT/US99/23089
48 <151> PRIOR FILING DATE: 1999-10-05
49 <150> PRIOR APPLICATION NUMBER: PCT/US99/28214
50 <151> PRIOR FILING DATE: 1999-11-29
51 <150> PRIOR APPLICATION NUMBER: PCT/US99/28313
52 <151> PRIOR FILING DATE: 1999-11-30
53 <150> PRIOR APPLICATION NUMBER: PCT/US99/28564
54 <151> PRIOR FILING DATE: 1999-12-02
55 <150> PRIOR APPLICATION NUMBER: PCT/US99/28565
56 <151> PRIOR FILING DATE: 1999-12-02
57 <150> PRIOR APPLICATION NUMBER: PCT/US99/30095
58 <151> PRIOR FILING DATE: 1999-12-16
59 <150> PRIOR APPLICATION NUMBER: PCT/US99/30911
60 <151> PRIOR FILING DATE: 1999-12-20
61 <150> PRIOR APPLICATION NUMBER: PCT/US99/30999
62 <151> PRIOR FILING DATE: 1999-12-20
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64 <151> PRIOR FILING DATE: 2000-01-05
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69 <212> TYPE: DNA
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71 <400> SEQUENCE: 1
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74 cccgcagcgc taccgcctat ggcgcctccg cgccggccg cgttggggctt cctgcgcctt 180
75 ctgctgtgc tgccgcgcg gccggaggcc gccaagaagc cgacgcctg ccacccggc 240
76 cggggctgg tggacaagtt taaccagggg atggtgaca cccaaagaa gaactttggc 300
77 ggcgggaaca cggcttggga gggaaagacg ctgtccaaat acggatccag cgagattcgc 360
78 ctgctggaga tcctggagggg gctgtgcgag agcagcgact tccaaatgcata tcagatgtcta 420
79 gaggcgccagg aggacaccc tggccgcctgg tggctgcgc tgaagagcga atatctgcac 480
80 ttattcgagt ggtttgtgt gaagacactg aaagtgtgtct gctctccagg aacctacgg 540
81 cccgactgtc tcgcatgcca gggcgatcc cagaggccct gcagcgggaa tggcoactgc 600
82 agcggagatg ggagcagaca gggcgacggg tcctgcgggt gcccacatggg gtaccaggc 660
83 ccgcgtgtca ctgactgcata ggacggctac ttcagctgc tccggaaacgc gaccacacgc 720
84 atctgcacag cctgtgacga gtccctgcaag acgtgtccgg gctgtccaa cagagactgc 780
85 ggcgagtgtg aagtggctg ggtgtggac gaggccgtt gtgtggatgt ggacgagtgt 840
86 gcggccgagc cgcctccctg cagcgctgcg cagttctgtt agaaacgcctt cggctccctac 900
87 acgtgcgaag agtgtgactc cagctgtgtg ggctgcacag gggaaaggccc agggaaactgt 960
88 aaagagtgtt aactctgtt cgcgaggggag cacggacagt gtgcagatgt ggacgagtgc 1020
89 tcaactatcg aaaaaactgt tggaggaaa aacgaaaact gtcataatac tccaggggc 1080
90 tacgtctgtg tggctgtt cggcttcgaa gaaacggaaat atgcctgtgt gccgcggca 1140
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92 tgtgccggac ttacccttta aattatttcg aaggatgtcc cgtggaaaat gtggccctga 1260
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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/902,634A

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 Output Set: N:\CRF3\02112002\I902634A.raw

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 95 ttgatacagt tctttgtaat aaaattgacc attgttagta atcaggagga aaaaaaaaaa 1440  
 96 aaaaaaaaaa aaagggcggc cgcgactcta gagtcgaccc gcaagaagctt ggccgccatg 1500  
 97 gcccaacttg ttattgcag cttataatgg ttacaaataa agcaatagca tcacaaattt 1560  
 98 cacaataaa gcattttt cactgcattc tagttgtggt ttgtccaaac tcatcaatgt 1620  
 99 atcttatcat gtctggatcg ggaattaatt cggcgcagca coatggcctg aaataacctc 1680  
 100 tggaaagagga acttggtagt gtaccttctg aggccgaaag aaccagctgt ggaatgtgtg 1740  
 101 tcagtttaggg tgtggaaagt ccccaggctc cccagcaggc agaagtatgc aagcatgcat 1800  
 102 ctcaattagt cagcaaccca gtttt 1825  
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 105 <211> LENGTH: 353  
 106 <212> TYPE: PRT  
 107 <213> ORGANISM: Homo sapiens  
 108 <400> SEQUENCE: 2  
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 110 1 5 10 15  
 111 Leu Leu Pro Pro Ala Pro Glu Ala Ala Lys Lys Pro Thr Pro Cys His  
 112 20 25 30  
 113 Arg Cys Arg Gly Leu Val Asp Lys Phe Asn Gln Gly Met Val Asp Thr  
 114 35 40 45  
 115 Ala Lys Lys Asn Phe Gly Gly Asn Thr Ala Trp Glu Glu Lys Thr  
 116 50 55 60  
 117 Leu Ser Lys Tyr Glu Ser Ser Glu Ile Arg Leu Leu Glu Ile Leu Glu  
 118 65 70 75 80  
 119 Gly Leu Cys Glu Ser Ser Asp Phe Glu Cys Asn Gln Met Leu Glu Ala  
 120 85 90 95  
 121 Gln Glu Glu His Leu Glu Ala Trp Trp Leu Gln Leu Lys Ser Glu Tyr  
 122 100 105 110  
 123 Pro Asp Leu Phe Glu Trp Phe Cys Val Lys Thr Leu Lys Val Cys Cys  
 124 115 120 125  
 125 Ser Pro Gly Thr Tyr Gly Pro Asp Cys Leu Ala Cys Gln Gly Gly Ser  
 126 130 135 140  
 127 Gln Arg Pro Cys Ser Gly Asn Gly His Cys Ser Gly Asp Gly Ser Arg  
 128 145 150 155 160  
 129 Gln Gly Asp Gly Ser Cys Arg Cys His Met Gly Tyr Gln Gly Pro Leu  
 130 165 170 175  
 131 Cys Thr Asp Cys Met Asp Gly Tyr Phe Ser Ser Leu Arg Asn Glu Thr  
 132 180 185 190  
 133 His Ser Ile Cys Thr Ala Cys Asp Glu Ser Cys Lys Thr Cys Ser Gly  
 134 195 200 205  
 135 Leu Thr Asn Arg Asp Cys Gly Glu Cys Glu Val Gly Trp Val Leu Asp  
 136 210 215 220  
 137 Glu Gly Ala Cys Val Asp Val Asp Glu Cys Ala Ala Glu Pro Pro Pro  
 138 225 230 235 240  
 139 Cys Ser Ala Ala Gln Phe Cys Lys Asn Ala Asn Gly Ser Tyr Thr Cys  
 140 245 250 255  
 141 Glu Glu Cys Asp Ser Ser Cys Val Gly Cys Thr Gly Glu Gly Pro Gly  
 142 260 265 270  
 143 Asn Cys Lys Glu Cys Ile Ser Gly Tyr Ala Arg Glu His Gly Gln Cys

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/902,634A

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Input Set : N:\Crf3\02042002\I902634A.raw

Output Set: N:\CRF3\02112002\I902634A.raw

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146          290          295          300
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148          305          310          315          320
149      Asp Gly Phe Glu Glu Thr Glu Asp Ala Cys Val Pro Pro Ala Glu Ala
150          325          330          335
151      Glu Ala Thr Glu Gly Glu Ser Pro Thr Gln Leu Pro Ser Arg Glu Asp
152          340          345          350
153      Leu
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156 <211> LENGTH: 2206
157 <212> TYPE: DNA
158 <213> ORGANISM: Homo sapiens
159 <400> SEQUENCE: 3
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162      aacagCCCTG gctgaggGGAG ctgcagCGCA gcagagtATC tgacggcGCC aggttgcgtA 180
163      ggtgcGGCAC gaggAGTTT cccggcAGCG aggaggtCTT gaggcAGCATG gcccggagGA 240
164      ggcgcTTCCG tgccgCCGCG ctctggCTC ggagcatCTC cctgtgcCTG ctggcactGC 300
165      gggcggagGC cgggCCGCCG caggaggAGA gcctgtacCT atggatcGAT gtcaccAGG 360
166      caagagtACT cataggATTt gaagaAGATA tcctgattGT ttcagaggGG aaaatggcAC 420
167      cttttacACA tgatttCAGA aaagcGCAAC agagaATGCC agctattCCT gtcaatATCC 480
168      attccatGAA ttttacCTGG caagCTGCAg ggcaggCAGA atacttCTAT gaattcCTGT 540
169      ccttgcGCTC cctggataAAA ggcatcatGG cagatCCAAc cgtcaatGTC cctctgCTGG 600
170      gaacagtGCC tcacaaggCA tcagttgtTC aagttggTTT cccatgtCTT ggaaaacagg 660
171      atggggTGGC agcatttGAA gtggatgtGA ttgttatGAA ttctgaaggC aacaccATC 720
172      tccaaacACC tcaaaatGCT atcttCTTA aaacatgtCA acaagctGAG tgccaggCG 780
173      ggtgcGAAA tggaggCTT tgaatGAAA gacgcatCTG cgagtgtCTC gatgggttCC 840
174      acggacCTCA ctgtgagAAA gcccTTGTA ccccacGATG tatgaatGGT ggacttGtG 900
175      tgactcCTGG ttctgcATC tgcccacCTG gattctatGG agtgaactGT gacaaAGCAA 960
176      actgctcaAC cacTGTCTT aatggaggGA cctgtttCTA ccctggAAAaA tgtatttGCC 1020
177      ctccaggACT agagggAGAG cagtgtAAA tcagcaaATG cccacaACCC tgcgaaATG 1080
178      gaggtAAATG cattggAAA agcaaATGTA agtgttCCAA aggttaccAG ggagacCTC 1140
179      gttcaaAGCC tgcTGTGCGAG cctggCTGTG gtgcacatGG aacctgCCat gaacccaACA 1200
180      aatGCCAAATG tcaagaAGGT tggcatGGAA gacactGCAA taaaaggTAC gaagccAGCC 1260
181      tcatacatGC cctgaggCCA gcaggCGCCc agctcaggCA gcacacGCT tcacttAAA 1320
182      agggcaggGA gcggcGGGAT ccacCTGAAt ccaattACAT ctggtaACT ccgacatCTG 1380
183      aaacgtttA agttacACCA agttcatAGC ctttGTTAAC ctttcatGTG ttgaatGTT 1440
184      aaataatGTT cattacACTT aagaataACTG gcctGAATTt tattagCTTC attataAAATC 1500
185      actgagCTGA tatttactCT tcctttAAg ttttctaAGT acgtctGTAG catgatGGTA 1560
186      tagattttCT tggTTcaGtG ctttggACa gattttatAT tatgtcaATT gatcaggTTA 1620
187      aaattttcAG tgcgttagTT gcaGatATTt tcaaaattAC aatgcattTA tggTGTCTGG 1680
188      gggcaggGGGA acatcagAAA ggttAAATTG ggcaaaaATG cgtaagtCAC aagaatttGG 1740
189      atggTgcAGT taatgttGAA gttacAGCAT ttcagattt attgtcAGat atttagatGT 1800
190      ttgttacATT tttaaaaATT gctcttaATT tttaaactCT caatacaata tattttGACC 1860
191      ttaccattAT tccagagATT cagtattAAA aaaaaaaaaa ttacactGTG gtagtggCAT 1920
192      ttaaaacaATA taatataTTc taaacacaAT gaaatAGGGA atataatGTA tgaactTTT 1980
193      qcattqqCTT qaaqcaataAT aatatattGT aaacaaaaca cagctttac ctaataaaACA 2040

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RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/902,634A

DATE: 02/11/2002  
TIME: 08:11:10

Input Set : N:\Crf3\02042002\I902634A.raw  
Output Set: N:\CRF3\02112002\I902634A.raw

194 ttttatactg tttgtatgt aaaaaataaag gtgctgcttt agtttttgg aaaaaaaaaa 2100  
195 aaaaaaaaaa aaaaaaaaaa aaaaaaaaaa gggcggccgc gactctagag tcgacctgca 2160  
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198 <210> SEQ ID NO: 4  
199 <211> LENGTH: 379  
200 <212> TYPE: PRT  
201 <213> ORGANISM: Homo sapiens  
202 <400> SEQUENCE: 4  
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206 20 25 30  
207 Glu Glu Ser Leu Tyr Leu Trp Ile Asp Ala His Gln Ala Arg Val Leu  
208 35 40 45  
209 Ile Gly Phe Glu Glu Asp Ile Leu Ile Val Ser Glu Gly Lys Met Ala  
210 50 55 60  
211 Pro Phe Thr His Asp Phe Arg Lys Ala Gln Gln Arg Met Pro Ala Ile  
212 65 70 75 80  
213 Pro Val Asn Ile His Ser Met Asn Phe Thr Trp Gln Ala Ala Gly Gln  
214 85 90 95  
215 Ala Glu Tyr Phe Tyr Glu Phe Leu Ser Leu Arg Ser Leu Asp Lys Gly  
216 100 105 110  
217 Ile Met Ala Asp Pro Thr Val Asn Val Pro Leu Leu Gly Thr Val Pro  
218 115 120 125  
219 His Lys Ala Ser Val Val Gln Val Gly Phe Pro Cys Leu Gly Lys Gln  
220 130 135 140  
221 Asp Gly Val Ala Ala Phe Glu Val Asp Val Ile Val Met Asn Ser Glu  
222 145 150 155 160  
223 Gly Asn Thr Ile Leu Gln Thr Pro Gln Asn Ala Ile Phe Phe Lys Thr  
224 165 170 175  
225 Cys Gln Gln Ala Glu Cys Pro Gly Gly Cys Arg Asn Gly Gly Phe Cys  
226 180 185 190  
227 Asn Glu Arg Arg Ile Cys Glu Cys Pro Asp Gly Phe His Gly Pro His  
228 195 200 205  
229 Cys Glu Lys Ala Leu Cys Thr Pro Arg Cys Met Asn Gly Gly Leu Cys  
230 210 215 220  
231 Val Thr Pro Gly Phe Cys Ile Cys Pro Pro Gly Phe Tyr Gly Val Asn  
232 225 230 235 240  
233 Cys Asp Lys Ala Asn Cys Ser Thr Thr Cys Phe Asn Gly Gly Thr Cys  
234 245 250 255  
235 Phe Tyr Pro Gly Lys Cys Ile Cys Pro Pro Gly Leu Glu Gly Glu Gln  
236 260 265 270  
237 Cys Glu Ile Ser Lys Cys Pro Gln Pro Cys Arg Asn Gly Gly Lys Cys  
238 275 280 285  
239 Ile Gly Lys Ser Lys Cys Lys Cys Ser Lys Gly Tyr Gln Gly Asp Leu  
240 290 295 300  
241 Cys Ser Lys Pro Val Cys Glu Pro Gly Cys Gly Ala His Gly Thr Cys  
242 305 310 315 320  
243 His Glu Pro Asn Lys Cys Gln Cys Gln Glu Gly Trp His Gly Arg His

Use of n and/or Xaa has been detected in the Sequence Listing.  
Review the Sequence Listing to insure a corresponding  
explanation is presented in the <220> to <223> fields of  
each sequence using n or Xaa.

VERIFICATION SUMMARY  
PATENT APPLICATION: US/09/902,634A

DATE: 02/11/2002  
TIME: 08:11:11

Input Set : N:\Crf3\02042002\I902634A.raw  
Output Set: N:\CRF3\02112002\I902634A.raw

L:29 M:270 C: Current Application Number differs, Wrong Format  
L:403 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:404 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:405 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:406 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:614 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26  
L:1341 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50  
L:2841 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113  
L:3206 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131  
L:4238 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174  
L:4338 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175  
L:5176 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206